



TATRA BANKA

✕ Member of RBI Group

INFORMATION MEMORANDUM ON PROTECTION OF PERSONAL DATA

for persons with a special relationship to the bank

The objective of this Information Memorandum on protection of personal data is to provide information concerning processing of the personal data in accordance with the provisions of Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC.

In this Information Memorandum on protection of personal data you can find information about the processing of personal data concerning the list of persons with a special relationship to the bank, related information on your rights as the data subject and also contact information of the person authorized to supervise processing of the personal data.

In view of the above, we recommend that you read the information contained in this document. Any changes relating to the processing of your personal data will be provided for by updating this document published on our web page.

We would like to draw your attention to the fact that Tatra banka, a.s., branch of Raiffeisen banka, is an organisational unit of Tatra banka, which itself does not have legal personality. In processing of personal data it does not act in the capacity of a controller, processor or recipient. In the context of services and products of Raiffeisen banka your personal data are still processed by the controller, namely Tatra banka.

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1. Information about the Controller

The controller is **Tatra banka, a.s.**, Company ID No.: 00 686 930, with registered office: Hodžovo námestie 3, 811 06 Bratislava 1, registered in the Commercial Register of the District Court Bratislava I, Section: Sro, Insert No.: 71/B, contact data: Dialog Live, *1100 / 0800 00 1100 / +421 2 5919 1000 (hereinafter "**Tatra banka**" or the "**Bank**").

Ensuring protection of your personal data is very important to us and therefore when processing the personal data we pay attention to compliance with the applicable legal regulations, in particular, principles and requirements resulting from GDPR. We have set up appropriate technical and organisational measures that contribute to ensuring the protection of the processed personal data of the data subjects.

In case of any questions relating to the processing of your personal data, please contact our DPO (Data Protection Officer), who is authorised to supervise processing of personal data in our company. You can contact DPO by e-mail at dpo@tatrabanka.sk or in writing at: DPO, Tatra banka, a.s., Hodžovo námestie 3, 811 06 Bratislava 1.

2. Basic Terms

GDPR	Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC.
Personal Data	Any information relating to an identified or identifiable natural person; an identifiable natural person is one who can be identified, directly or indirectly, in particular by reference to an identifier such as a name, an identification number, location data, an online identifier or to one or more factors specific to the physical, physiological, genetic, mental, economic, cultural or social identity of that natural person.
Data Subject	Natural person whose personal data are processed. It means a person who can be identified, directly or indirectly, in particular by reference to an identifier such as a name, an identification number, location data, an online identifier or to one or more factors specific to the physical, physiological, genetic, mental, economic, cultural or social identity of that natural person.
Client	Person with whom Tatra banka, in performing its banking activities, entered into transaction, while bank transaction means formation, change or termination of contractual relationships between the client and Tatra banka. The Clients also means a person with whom Tatra banka discussed the execution of transaction, although this transaction did not take place, the person who ceased to be a client of Tatra banka, a person providing security and the representative of the client, who in the name of the client concluded banking transaction or negotiated its conclusion. For the purposes of this document, the Client is also a beneficiary defined by AML
Processing	Any operation or set of operations which is performed on personal data or on sets of personal data, whether or not by automated means, such as collection, recording, organisation, structuring, storage, adaptation or alteration, retrieval, consultation, use, disclosure by transmission, dissemination or otherwise making available, alignment or combination, restriction, erasure or destruction.
Controller	Any person which, alone or jointly with others, determines the purposes and means of the processing of personal data and processes personal data on their own behalf. For the purposes of this document the Controller is Tatra banka.
Processor	Any person, which processes personal data on behalf of the Controller on the basis of an authorisation in line with Article 28 of GDPR.
Act on Banks	Act No. 483/2001 Coll. on Banks

3. Purpose and Legal Basis for Processing Personal Data

Tatra banka processes your personal data only for the pre-determined and legitimate purpose of processing, provided that there must be the legal basis for such processing at all times. Tatra banka takes this opportunity to assure you that your personal data are never further processed for the purposes incompatible with the originally determined purposes of processing.

The purposes of the processing personal data include: Maintaining the list of persons having special relationship to the bank as defined in the Act on Banks.

In this case the legal basis for processing is Article 6(1)(c) - processing is necessary for fulfilment of the Controller's legal obligations.

This legal obligation means the Tatra banka's obligation resulting from Section 35 of the Act on Banks, where under Article 35(1) of the Act on Banks Tatra banka must not carried out transactions with the persons who have to special relationship with the bank, which it would not carried out with other clients due to their nature, purpose or risk. These persons are defined in Section 35, par. 4 of the Act on Banks and include the following data subjects:

- members of the statutory body of the bank, senior bank employees, other employees of the bank designated by the Bank's Articles of Association and a bank's authorised signatory,
- members of the supervisory board of the bank,
- persons having control over the bank, members of the statutory bodies of such legal persons and senior employees of such legal persons,
- persons close to members of the statutory body of the bank, the supervisory board of the bank, the bank's senior employees or natural persons having control over the bank,
- shareholders having qualifying holdings in the bank and any legal person under their control or having control over them,
- members of the Bank Board of the National Bank of Slovakia,
- an auditor or a natural person who carries out on behalf of an audit firm an audit activity in the bank,
- a member of the statutory body of another bank and the head of a branch of a foreign bank,
- the bond manager of the covered bond program and the deputy manager of the covered bond program,
- persons having a legal relationship with the bank that may lead to a qualifying holding in the bank.

4. Categories of Personal Data, which Tatra banka can process:

Tatra banka processes only those personal data that are necessary to achieve the purpose of processing. Taking into account the purpose of the processing of the list of persons with a special relationship with the bank, such data are indispensable without which the purpose could not be achieved and thus fulfill the relevant legal obligation of the Controller, the following data:

- Title, name and surname
- Date of birth
- Birth Number, provided that the processing of birth is necessary in this case in order to achieve the purpose - a successful identification and non-interchangeability of the persons with special relationship
- Nationality
- Permanent residence, postal address
- Type of relative
- Data from identity document

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As a part of its activities, Tatra banka can process your personal data for the following purposes:

6. Whom can we provide your personal data?

Tatra banka does not provide your personal data to other entities, except in cases where Tatra banka is obliged to provide them in line with the applicable legal regulations.

The persons to whom Tatra banka is entitled to provide such data include, without limitation:

- the National Bank of Slovakia
- Deposit Protection Fund
- Office for the Protection of Personal Data of the Slovak Republic
- Law enforcement authorities, the courts and other authorities, to which the Bank must provide the data in line with the applicable legal regulations

7. Transfer of data to third countries

Personal data are not subject to cross-border transfer to third countries which do not guarantee adequate level of protection for personal data.

8. For how long do we keep your personal data?

Your data in a form which allows your identification can be retained by Tatra banka for the time necessary to achieve the purpose for which personal data are processed.

9. What are your rights in relation to processing the personal data?

In connection with processing of personal data **you have the right to file a complaint** to supervisory authority, which is:

Office for Personal Data Protection of the Slovak Republic
Galvaniho Business Centrum II
Galvaniho 7/B
811 04 Bratislava
Slovak Republic

You have the right to remedy of incorrect personal data relating to you or to supplement incomplete personal data. If you find out that we are processing incorrect or incomplete data about you, please do not hesitate to contact us.

As a data subject you also have the right to limit the processing of your personal data as well as the right to delete personal data subject to compliance with the applicable terms and conditions.

You can exercise your rights in writing, by phone via the Dialog Live, e-mail to dpo@tatrabanka.sk or in person at our branches. Tatra banka might ask you to provide additional information necessary for confirmation of your identity.

In Bratislava, on 01.06. 2026